Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION **TRANSMITTAL**

8521-000017 Attorney Docket No. Wayne Taylor First Inventor HEAT RECOVERY VENTILATOR

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(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))					Ехр	Express Mail Label No. EL623308025US					
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.						ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 1]						7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. ☐ Computer Readable Form (CRF) b. Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper c. ☐ Statements verifying identity of above copies					
or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure						ACCOMPANYING APPLICATIONS PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney 11. English Translation Document (if applicable)					
4. Drawing(s) (35 U.S.C.113) [Total Sheets 6] 5. Oath or Declaration [Total Pages 3] a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76						12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Request and Non Publication under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
or in an App Conti Prior app For CONTIN	18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								upplied		
17. CORRESPONDENCE ADDRESS											
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Name	Harness, Dickey & Pierce, P.L.C.										
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Country	Country United States of America Telephone		2	248-641-1600			ax	248-641-0270			
Name (Print/Type) G. Gregory Schipley				R	Registration No. (Attorney/Agent) 27,382						
Signature / - / hearts				//	Lew	la		Date	November <u>43</u> , 2	:003	

PTO/SB/17 (01-03)

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	Complete if Known					
FEE TRANSMITTAL	Application Number	to be assigned				
for FY 2004	Filing Date	herewith				
	First Named Inventor	Wayne TAYLOR				
Patent fees are subject to annual revision.	Examiner Name	unknown				
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	unknown	_			
TOTAL AMOUNT OF PAYMENT (\$) 425	Attorney Docket No.	8521-000017				

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)					
					3. ADDITIONAL FEES					
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order					Entity	Small E	ntity			
☐ Deposit Account:					Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit					130	2051	65	Surcharge - late filing fee or oath		
Account Number	08-0750				50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit				1053	130	1053	130	Non-English specification		
				1812	2,520	1812	2,520	For filing a request for reexamination		
Name	2/4)	1804	920*	1804	920°	Requesting publication of SIR prior to Examiner action				
The Director is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application					1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
☐ Charge fee(s) indicated below, except for the filing fee					110	2251	55	Extension for reply within first month		
to the above-identified deposit account.					420	2252	210	Extension for reply within second month		
		CALCULATION		1253	950	2253	475	Extension for reply within third month		
BASIC FII Large Entity	LING FEE Small Entity			1254	1,480	2254	740	Extension for reply within fourth month		
	Fee Fee	Fee Description		1255	2,010	2255	1,005	Extension for reply within fifth month		
	Code (\$)		Fee Paid	1401	330	2401	165	Notice of Appeal		
	2001 385	Utility filing fee	385	1402	330	2402	165	Filing a brief in support of an appeal		
	2002 170	Design filing fee		1403	290	2403	145	Request for oral hearing		
	2003 265 2004 385	Plant filing fee Reissue filing fee	,	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160	2005 80	Provisional filling	fee	1452	110	2452	-55	Petition to revive – unavoidable		
I			(6) 005	1453	1,330	2453	665	Petition to revive - unintentional		
SUBTOTAL (1) (\$) 385				1501	1,330	2501	665	Utility issue fee (or reissue)		
2. EXTRA CLAII	M FEES			1502	480	2502	240	Design issue fee		
Z. EXTITA OLIA		Extra F	ee from Fee	1503	640	2503	320	Plant issue fee		
			elow Paid	1460	130	1460	130	Petitions to the Commissioner		
Total Claims 14	-20 **	= <u> </u>	= 0	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		
Independent Claims 1	-3 **	= 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt		
Multiple Dependent	0	x [= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40	
Large Entity	Small Er			1809	770	2809	385	Filing a submission after final rejection		
Fee Fee Code (\$)	Fee Code	(\$) Fee Descrip		1810	770	2810	385	(37 CFR § 1.129(a)) For each additional invention to be		
1202 18	2202	9 Claims in ex	t claims in excess of 3	1,0,10	110	1 20.0	000	examined (37 CFR § 1.129(b))		
1201 86	2201	•	endent claim, if not paid		770	2004	205	Request for Continued Examination (RCE)		
1203 290	2203	** Paissua i		1801	770	2801	385			
1204 86	2204	2204 43 *** Reissue independent claims over original patent		1802	900	l 1802	900	Request for expedited examination of a design application		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent					Other fee (specify)					
SUBTOTAL (2) (\$) 0					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40					
**or number previously paid, if greater; For Reissues, see above										
or number pre	viousiy paid,	" greater, I of Itela	2223, 000 250,0							

SUBMITTED BY		Col	Complete (if applicable)		
Name (Print/Type)	G. Gregory Schivley Registration No. Attorney/Agent) 23,382	Telephone	248 641 1600		
Signature	J. hum Charles	Date	November <u>/3</u> , 2003		

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